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FILING OR 371 (c) DATE FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

APPLICATION NUMBER 10/790,224

03/02/2004

Yumi Matsuzaki

US-162

000038108 AJINOMOTO CORPORATE SERVICES, LLC INTELLECTUAL PROPÈRTY DEPARTMENT 1120 CONNECTICUT AVE., N.W. WASHINGTON, DC 20036 CONFIRMATION NO. 9934
FORMALITIES LETTER
OC000000013193135

Date Mailed: 07/09/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
 the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as
 indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
 substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
 of the sequence listing information recorded in computer readable form is identical to the written (on paper
 or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

- SUMMARY OF FEES DUE:

08/30/2004 JBRLINAN 00000044 503077 10790224

01 FC:1051

130.00 DA

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

NIE 27 ZOM STEEL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Matsuzaki et al.

Art Unit: 1652

Application No.: 10/790,224

Examiner: [to be assigned]

Filing Date: March 2, 2004

Atty. Docket: US-162

Title: Method for Producing L-Arginie or L-Lysine by Fermentation

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office, in response to the Notice to File Missing Parts of Non-provisional Application, dated July 9, 2004:

- 1) (Return Copy) Notice to File Missing Parts (2 pp)
- 2) Combined Declaration and Power of Attorney for Patent Application (3 pp)

Please charge the Surcharge for Late Filing of the Declaration (fee code 1051) of \$130.00 to Deposit Account No. 50-3077.

In the Notice to File Missing Parts of Non-provisional Application with Requirements for Patent Applications Containing Nucleotide Sequence and/or amino Acid Sequence Disclosures mailed on July 9, 2004, Applicants were given a two-month period to submit a corrected copy of the "Sequence Listing" in computer readable form (CRF), and the required statement under 37 CFR §1.821(g) that the CRF and written copy of the sequence listing are the identical.



Applicants hereby submit the corrected CRF as well as the required statement.

It is not believed that extensions of time are required, beyond those that may otherwise be provided for in accompanying documents. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and the undersigned hereby authorizes withdrawal from our deposit account #50-3077 of any necessary funds.

Respectfully submitted,

Shelly Guest Cermak Reg. No. 39,571

Date: August 27, 2004

PTO Customer No.: 000038108 Ajinomoto Corporate Services LLC 1120 Connecticut Avenue Ste. 1010 Washington, D.C. 20036 202.457.0284